

In re Application of: Kenneth Lee Lilly  
Serial Number: 09/090,508  
Filed: 06/04/98  
Examiner: K. Bareford  
Group Art Unit: 1762  
For: METHOD FOR PRODUCING TEXTURED  
THERMOPLASTIC FILM

GRAU 1762



## INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks  
Washington, DC 20231

SIR:

☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]

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☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]

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☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]

☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231, on this 23rd day of April, 1999.

Nora Quadrozzi  
Nora Quadrozzi

- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information; e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_", and
- b) The required Certification is stated in item 11 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to **Deposit Account No.07-0862**. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

Robert E. Walter

Robert E. Walter  
Attorney for Applicants  
Registration No. 25,245  
Telephone No. (413) 448-5459

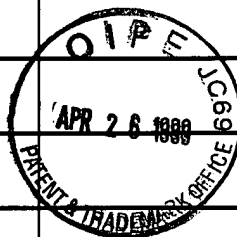
General Electric Company  
One Plastics Avenue  
Pittsfield, MA 01201

April 23, 1999  
REW/nq

<b>PTO-1449</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b><u>LIST OF ITEMS</u></b>  (Use several sheets if necessary)	<b>Attorney's Docket Number</b> 08CS05705	<b>Serial Number</b> 09/090,508
<b>Name of Applicant</b> Kenneth Lee Lilly		
<b>Filing Date</b> 06/04/98		<b>Group</b> 1762

**U.S. PATENT DOCUMENTS**

* Examiner Initials	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	AA 5 2 8 1 3 7 1	1/25/94	Tamura et al.			
	AB 5 6 7 0 2 4 0	9/23/97	Davis			
	AC 5 4 1 1 7 8 8	5/2/95	Hyde et al.			
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					



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 GROUP 1762

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
	AM EP 0 369 780 A	5/23/90	Europe			
	AN XP-002094506 & JP 01 176477A (Abstract)	7/12/89				
	AO NL 8 602 018A & Abstract	3/1/88	Netherlands			
	AP DE 24 25 957 A & Abstract	12/18/75	West Germany			

**OTHER INFORMATION (Including Author, Title, Date, Pertinent)**

AQ	
AR	
AS	

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

<b>PTO-1449</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b><u>LIST OF ITEMS</u></b>  (Use several sheets if necessary)				Attorney's Docket Number 08CS05705		Serial Number 09/090,508	
				Name of Applicant Kenneth Lee Lilly			
				Filing Date 06/04/98		Group 1762	

U.S. PATENT DOCUMENTS									
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	AA								
	AB								
	AC								
	AD								
	AE								
	AF								
	AG								
	AH								
	AI								
	AJ								
	AK								
	AL								

FOREIGN PATENT DOCUMENTS							
	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES    NO	
AM	XP-002094484 & JP 08 132515A (Abstract)	5/28/96					
AN	WO 88 00217 A	1/14/88	World				
AO							
AP							

OTHER INFORMATION (Including Author, Title, Date, Pertinent)	
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EXAMINER	DATE CONSIDERED
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